



## REGION IV

*Long Term Care Certification and  
Enforcement Branch*

# SUMMARY OF STATE USE OF CMP FUNDS *2012-2014*



[Updated 6/26/2014]



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*Associate Regional Administrator*

## Executive Summary

Since December 2011, Region IV State uses of Civil Money Penalty (CMP) funds have totaled \$3,125,614.33 in 88 submitted requests. The states receiving a substantial portion of this funding are Alabama, North Carolina, and Kentucky, respectively. The states receiving lesser amounts of CMP funds are South Carolina, Georgia and Florida. The most requested CMP categories since 2012 have been those that contain two or more categories, Culture Change/Quality of Life, and Training. There have been no requests for Resident or Family Councils, Consumer Information, or Transition Preparation.

In 2012, there were 43 total requests, with the majority (35) coming from the state of North Carolina for the purpose of Culture Change/Quality of Life (27). In 2013, CMS Region IV received a total of 21 requests, with North Carolina and Florida submitting seven requests each. The most requested purpose in 2013 was Culture Change/Quality of Life (12). As of June 26, 2014, a total of 24 requests have been submitted, 14 from North Carolina. The most requested purpose to-date in 2014 has been Culture Change/Quality of Life (14).

In 2012 and 2013, six states requested CMP funds and only three states have done so in 2014. Alabama and Mississippi did not request CMP funds in 2012; neither did Mississippi or Tennessee in 2013. As of June 26, 2014, only Kentucky, Mississippi, and North Carolina requested CMP funds in 2014.

# Region IV State Data

## State Use of CMP Funds by Year and Category

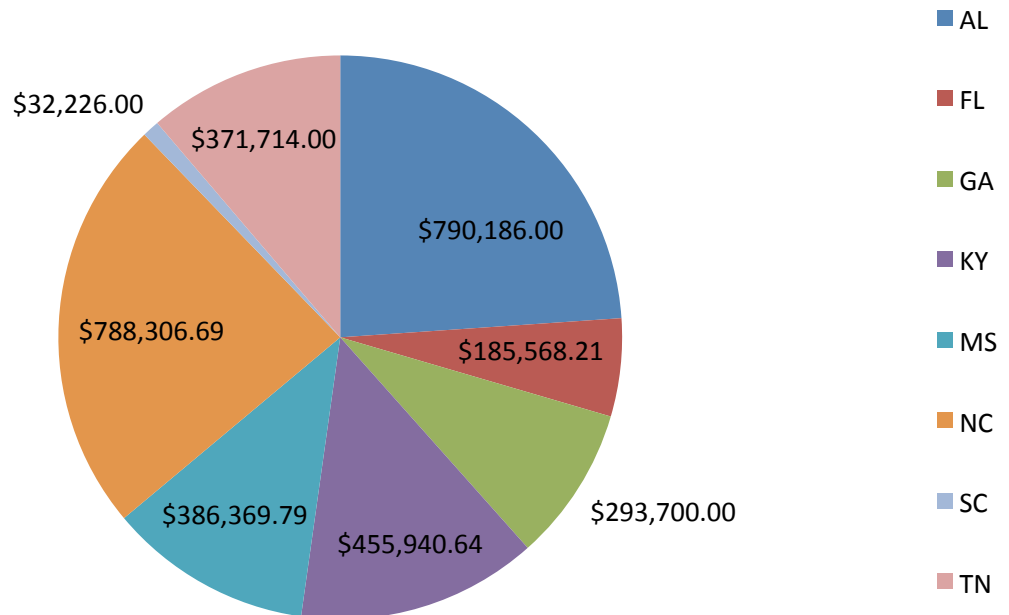


<b>2012</b>	<b>43</b>
<b>FL</b>	<b>3</b>
Direct Improvement to Quality of Care	3
<b>GA</b>	<b>1</b>
Other: NBCP	1
<b>KY</b>	<b>2</b>
Training	2
<b>NC</b>	<b>35</b>
Culture Change/Quality of Life	26
Direct Improvement to Quality of Care	3
Dual Categories	1
Training	5
<b>SC</b>	<b>1</b>
Culture Change/Quality of Life	1
<b>TN</b>	<b>1</b>
Dual Categories	1
<b>2013</b>	<b>21</b>
<b>AL</b>	<b>3</b>
Culture Change/Quality of Life	1
Dual Categories	2
<b>FL</b>	<b>7</b>
Culture Change/Quality of Life	4
Direct Improvement to Quality of Care	3
<b>GA</b>	<b>1</b>
Dual Categories	1
<b>KY</b>	<b>1</b>
Training	1
<b>NC</b>	<b>7</b>
Culture Change/Quality of Life	6
Training	1
<b>SC</b>	<b>1</b>
Culture Change/Quality of Life	1
<b>TN</b>	<b>1</b>
Relocation	1
<b>2014</b>	<b>24</b>
<b>KY</b>	<b>8</b>
Culture Change/Quality of Life	2
Direct Improvement to Quality of Care	1
Training	5
<b>MS</b>	<b>2</b>
Culture Change/Quality of Life	1
Dual Categories	1
<b>NC</b>	<b>14</b>
Culture Change/Quality of Life	11
Direct Improvement to Quality of Care	1
Dual Categories	2
<b>Grand Total 2012 - 2014</b>	<b>88</b>

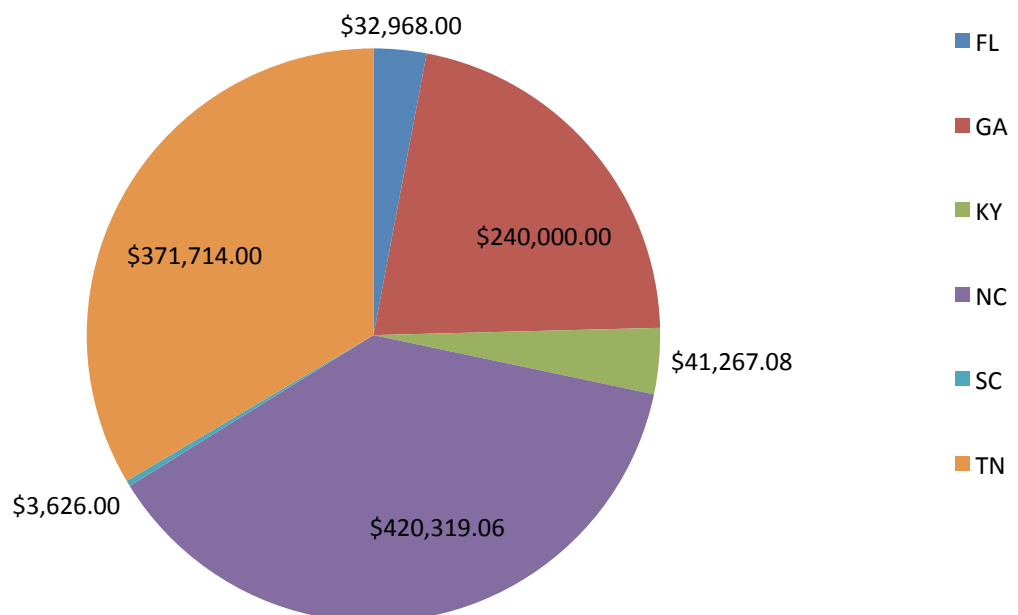
# Region IV State Data

## State Use of CMP Funds by Year and Category

### 2012-2014 CMP Funding Amounts by State



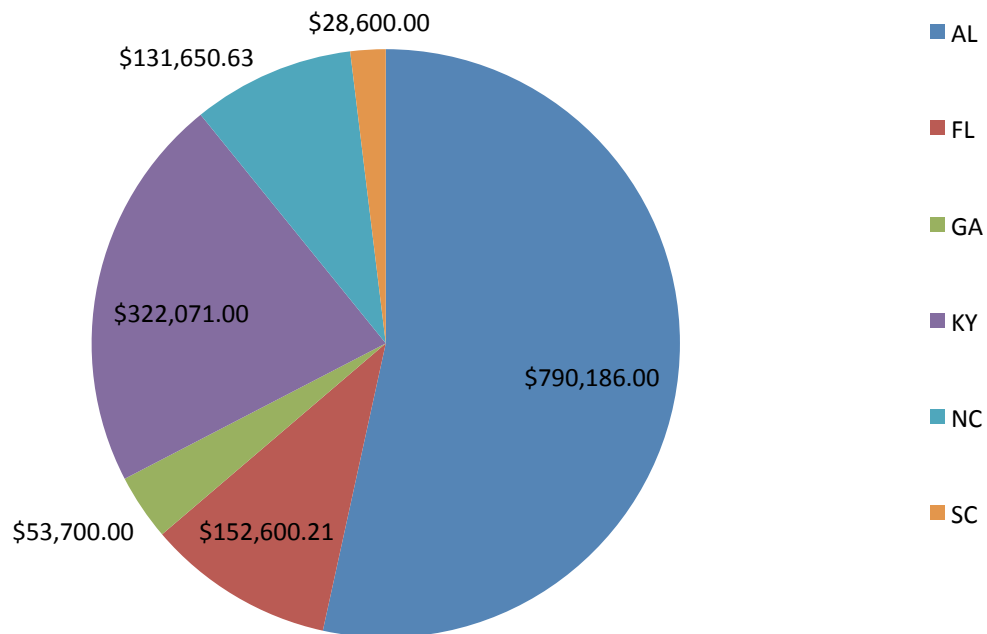
### 2012 CMP Funding Amounts by State



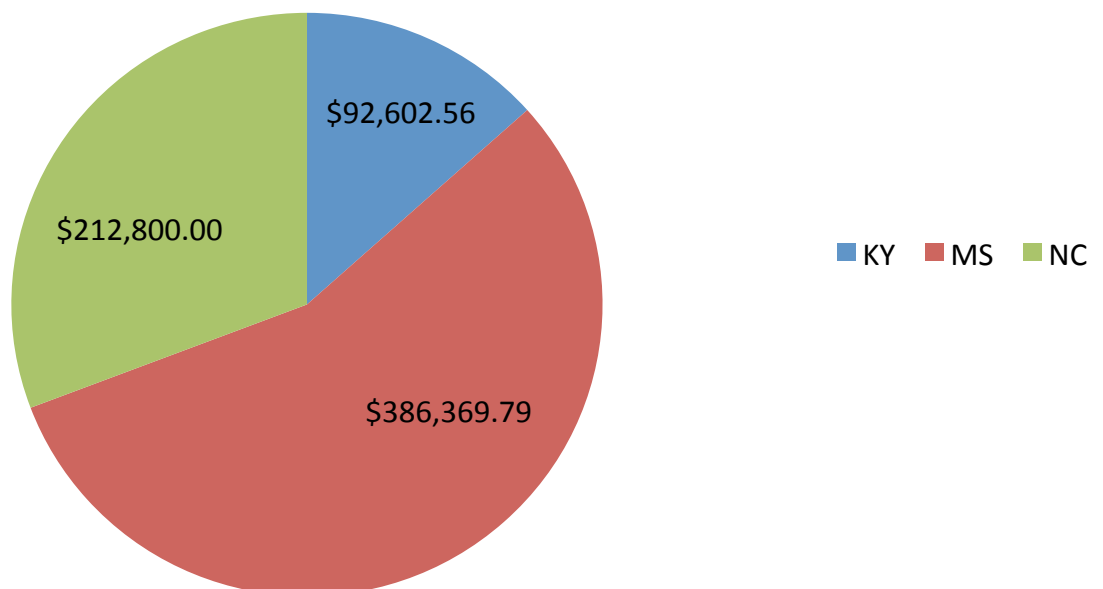
## Region IV State Data

### State Use of CMP Funds by Year and Category

#### 2013 CMP Funding Amounts by State



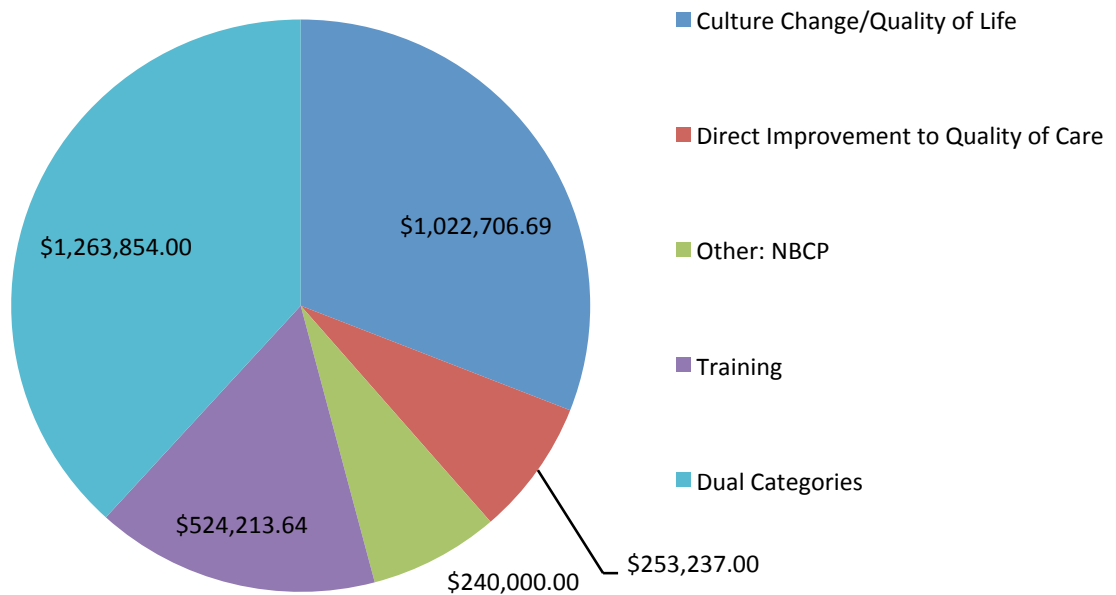
#### 2014 CMP Funding Amounts by State



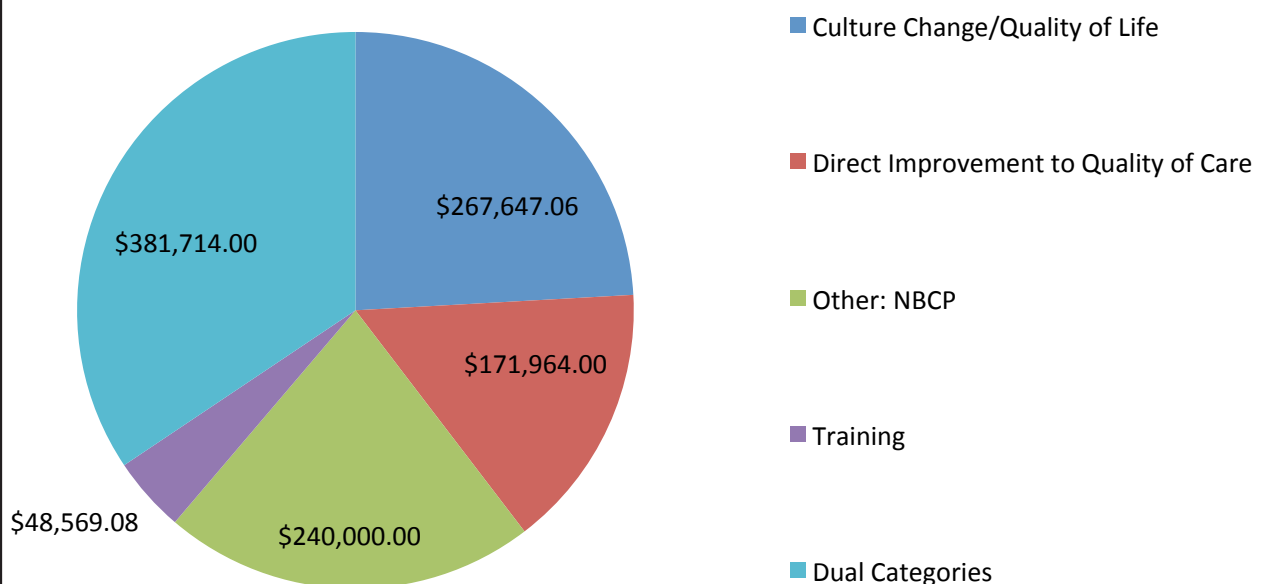
## Region IV State Data

### State Use of CMP Funds by Year and Category

#### 2012 - 2014 CMP Funding Amounts by Category



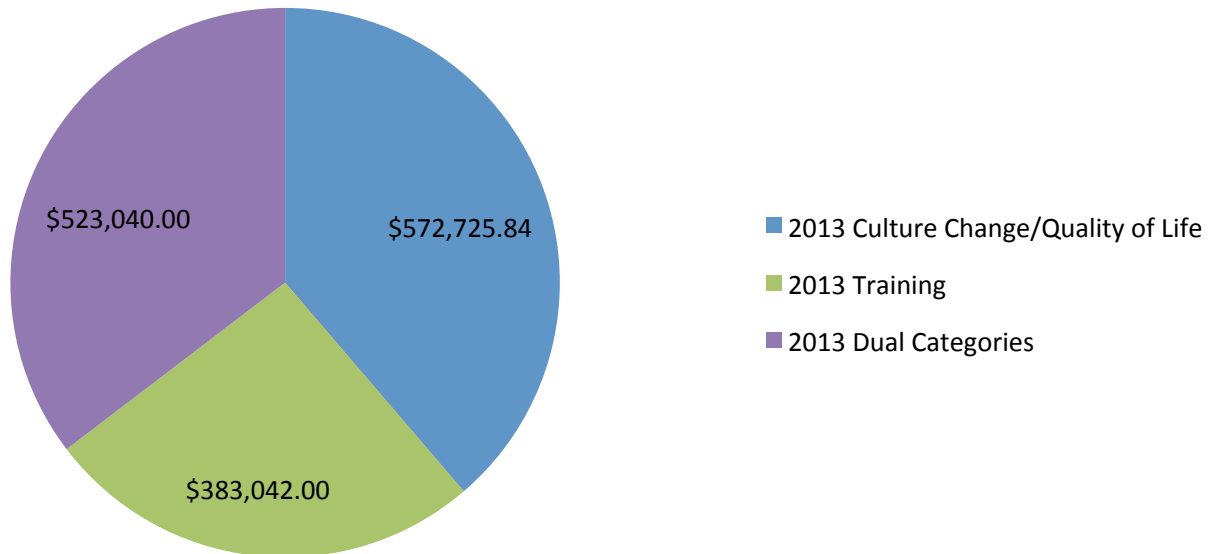
#### 2012 CMP Funding Amounts by Category



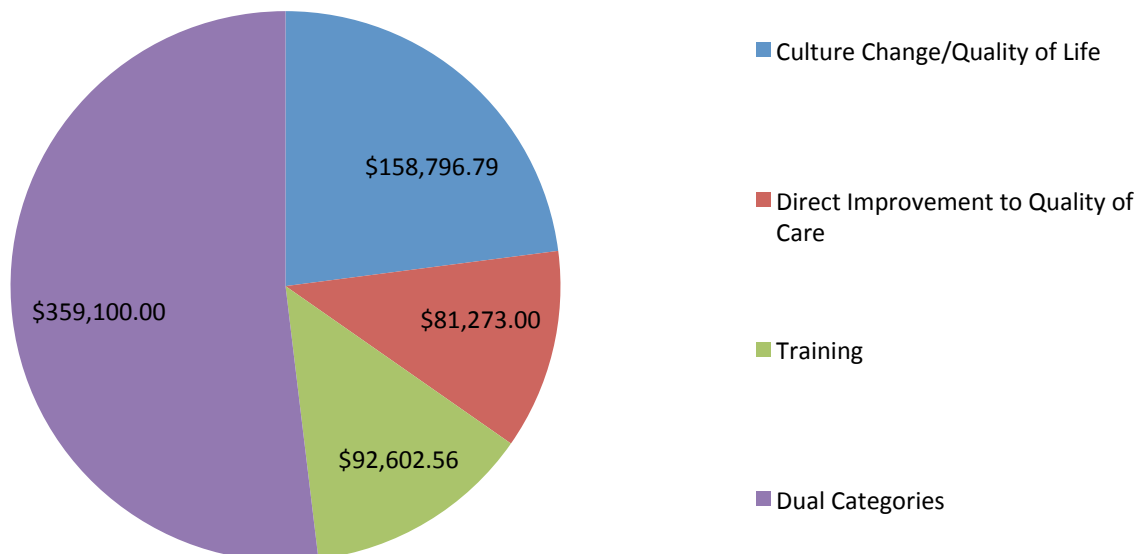
## Region IV State Data

### State Use of CMP Funds by Year and Category

#### 2013 CMP Funding Amounts by Category



#### 2014 CMP Funding Amounts by Category



## Region IV State Data

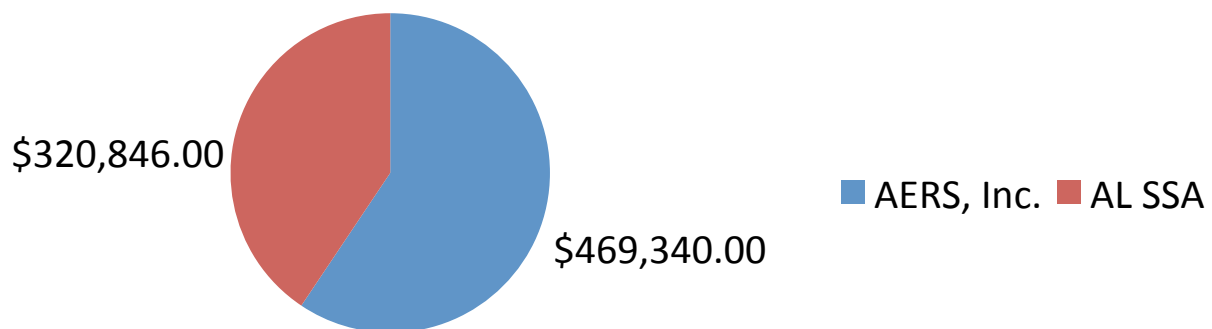
### State Use of CMP Funds by Year and Category



#### Alabama

State	Year	Organization	Amount Requested	Amount Approved
AL	2013	AERS, Inc.	\$ 326,000.00	\$ 326,000.00
AL	2013	AERS, Inc.	\$ 572,682.00	\$ 143,340.00
AL	2013	AL SSA	\$ 1,791,342.00	\$ 320,846.00

#### Amount Approved by Organization: Alabama 2013





# Region IV State Data

## State Use of CMP Funds by Year and Category



### Florida

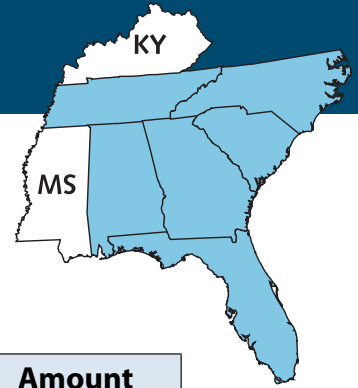
State	Year	Organization	Amount Requested	Amount Approved
FL	2013	Palatka Health Care Center	\$ 96,172.00	\$ 96,172.00
FL	2013	Life Care Center of Sarasota	\$ 25,000.00	\$ 25,000.00
FL	2013	Arbor Village	\$ 20,867.00	\$ 20,000.00
FL	2013	Miracle Hill Nursing and Rehabilitation Center	\$ 72,977.00	\$ -
FL	2012	(W) Seven Hills Health and Rehabilitation	\$ 10,000.00	withdrawn
FL	2012	Pines of Sarasota	\$ 32,968.00	\$ 32,968.00
FL	2013	(W)The TRECS Institute	\$ 244,000.00	withdrawn
FL	2013	Health Park Central	\$ 4,672.58	\$ -
FL	2013	Children's Comprehensive Care Center	\$ 11,428.21	\$ 11,428.21
FL	2012	Florida Health Care Association	\$ 49,500.00	\$ -

### Georgia

State	Year	Organization	Amount Requested	Amount Approved
GA	2012	Georgia State Survey Agency (SSA)	\$ 240,000.00	\$ 240,000.00
GA	2013	Carenow Services LLC	\$ 53,700.00	\$ 53,700.00

# Region IV State Data

## State Use of CMP Funds by Year and Category



### Kentucky

State	Year	Organization	Amount Requested	Amount Approved
KY	2012	Cabinet for Health and Family Services	\$ 22,653.10	\$ 22,653.10
KY	2012	Kentucky SSA	\$ 18,613.98	\$ 18,613.98
KY	2013	Kentucky SSA	\$ 322,071.00	\$ 322,071.00
KY	2014	KY Oral Health Program	\$ 220,000.00	\$ -
KY	2014	University of Louisville Research Foundation	\$ 1,530,092.00	Pending
KY	2014	University of Kentucky Research Foundation	\$ 1,336,882.00	Pending
KY	2014	Kentucky SSA	\$ 48,500.00	\$ 48,500.00
KY	2014	Kentucky SSA	\$ 17,301.28	\$ 17,301.28
KY	2014	Kentucky SSA	\$ 16,000.00	\$ 9,500.00
KY	2014	Kentucky SSA	\$ 17,301.28	\$ 17,301.28
KY	2014	Cabinet for Health and Family Services, OIG	\$ 25,000.00	Pending

### Mississippi

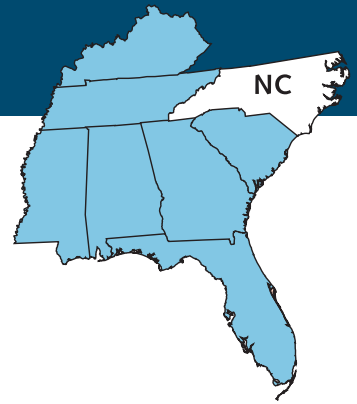
State	Year	Organization	Amount Requested	Amount Approved
MS	2014	GROW Mississippi Culture Change Coalition	\$ 359,100.00	\$ 359,100.00
MS	2014	Mississippi Care Center of Morton	\$ 35,548.79	\$ 27,269.79

### Amount Approved by Category: Mississippi 2014



# Region IV State Data

## State Use of CMP Funds by Year and Category



### North Carolina

State	Year	Organization	Amount Requested	Amount Approved
NC	2012	LTC Ombudsman Program	\$ 4,800.00	\$ -
NC	2012	University of North Carolina - Chapel Hill	\$ 301,473.00	\$ 138,996.00
NC	2012	NC Division of State Operated Healthcare Facilities	\$ 256,514.00	\$ -
NC	2012	NC SSA	\$ 3,957.00	\$ 3,957.00
NC	2012	NC SSA	\$ 3,345.00	\$ 3,345.00
NC	2012	University of North Carolina - Chapel Hill	\$ 303,093.00	\$ -
NC	2012	Future Care of North Carolina, Inc.	\$ 213,992.00	\$ -
NC	2012	Skyland Care Center	\$ 14,275.79	\$ 14,275.79
NC	2012	White Oak Manor-Shelby	\$ 9,807.27	\$ 9,807.27
NC	2012	Liberty Nursing & Rehabilitation of Mecklenburg	\$ 9,979.00	\$ 9,979.00
NC	2012	Lutheran Home (Trinity Glen)	\$ 12,421.00	\$ 12,421.00
NC	2012	Lutheran Home (Hickory West)	\$ 9,068.00	\$ 9,068.00
NC	2012	Lutheran Home (Hickory)	\$ 14,364.00	\$ 14,364.00
NC	2012	Liberty Commons Nursing & Rehabilitation Center of Lee County	\$ 11,015.00	\$ 11,015.00
NC	2012	Lutheran Home - Albermarle	\$ 14,395.00	\$ -
NC	2012	Lutheran Home (Trinity Oaks)	\$ 15,000.00	\$ 15,000.00
NC	2012	Stanley Manor, Inc.	\$ 7,300.00	withdrawn
NC	2012	O'Berry Neural-Medical Treatment Center	\$ 24,326.00	\$ 24,326.00
NC	2012	White Oaks of Waxhaw	\$ 10,000.00	\$ 10,000.00
NC	2012	Brian Center for Health and Rehabilitation of Wilson	\$ 14,961.00	\$ 14,961.00
NC	2012	Lenoir Healthcare Center	\$ 10,000.00	\$ 10,000.00
NC	2012	Autumn Care of Saluda	\$ 15,000.00	\$ -
NC	2012	Conover Nursing & Rehabilitation Center	\$ 15,685.00	\$ 15,685.00
NC	2012	The Forest at Duke	\$ 10,000.00	\$ 10,000.00
NC	2012	Universal Healthcare of Greenville	\$ 15,000.00	\$ 15,000.00
NC	2012	Ashton Place Health & Rehabilitation	\$ 10,000.00	\$ 10,000.00
NC	2014	Pender Memorial Hospital	\$ 15,000.00	\$ -
NC	2012	Asbury Care Center at Aldersgate	\$ 10,000.00	\$ 10,000.00

# Region IV State Data

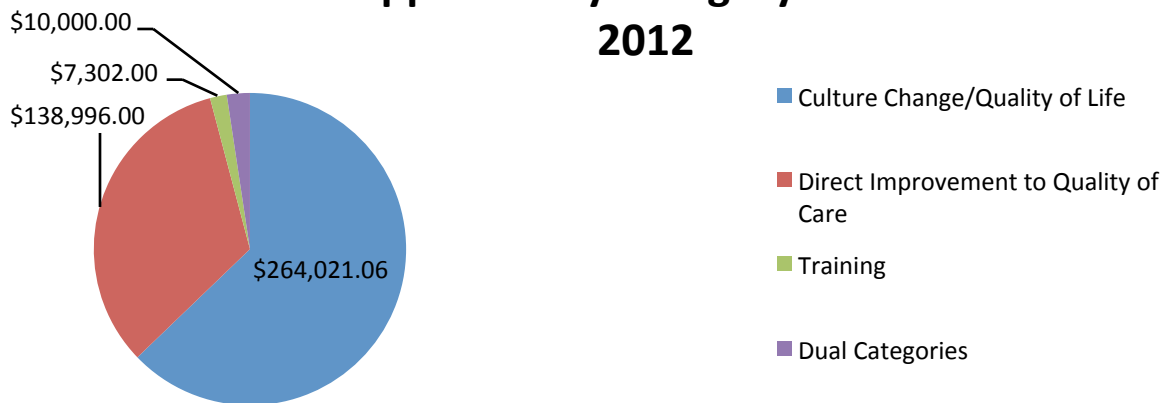
## State Use of CMP Funds by Year and Category

State	Year	Organization	Amount Requested	Amount Approved
NC	2012	Piedmont Crossing	\$ 10,000.00	\$ 10,000.00
NC	2012	Fair Havens Home, Inc.	\$ 10,000.00	\$ 8,134.00
NC	2012	White Oak Manor Charlotte	\$ 15,000.00	\$ -
NC	2013	Golden Living Centers - Charlotte	\$ 16,005.33	\$ 16,005.33
NC	2012	Abernathy Laurels	\$ 15,000.00	\$ 15,000.00
NC	2012	Givens Health Center	\$ 10,000.00	\$ 10,000.00
NC	2013	Forrest Oaks Healthcare Center	\$ 11,060.00	\$ 11,060.00
NC	2012	Liberty Commons Nursing & Rehabilitation Center of Columbus County	\$ 4,985.00	\$ 4,985.00
NC	2012	Valley Nursing Center	\$ 10,000.00	\$ 10,000.00
NC	2012	Triangle J Area Agency on Aging Ombudsman Program	\$ 14,085.00	\$ -
NC	2013	Davis Healthcare Center	\$ 15,000.00	\$ 8,000.00
NC	2013	Liberty Commons Rehabilitation Center	\$ 14,771.00	\$ -
NC	2013	Colony Ridge Nursing & Rehabilitation Center	\$ 16,011.13	\$ 16,011.13
NC	2013	Lutheran Services Carolinas	\$ 60,971.00	\$ 60,971.00
NC	2013	NC SSA	\$ 19,603.17	\$ 19,603.17
NC	2014	University of North Carolina - Chapel Hill	\$ 81,273.00	\$ 81,273.00
NC	2014	Oak Forest Health and Rehabilitation Center	\$ 13,798.00	\$ 13,798.00
NC	2014	North Carolina SSA	\$ 22,420.00	\$ 22,420.00
NC	2014	Skyland Care Center	\$ 23,933.00	\$ 23,933.00
NC	2014	Lenoir Healthcare Center	\$ 14,798.00	\$ 14,798.00
NC	2014	Carol Woods Retirement Community	\$ 17,680.00	\$ 17,680.00
NC	2014	Clapp's Convalescent Nursing Home	\$ 23,393.60	\$ 20,327.45
NC	2014	Brian Center Health and Rehabilitation Center	\$ 10,720.00	\$ 7,331.55
NC	2014	Autumn Care of Saluda	\$ 11,239.00	\$ 11,239.00
NC	2014	Longleaf Neuro-Medical Treatment Center	\$ 23,537.00	\$ 23,537.00
NC	2014	Trinity Place	\$ 12,415.00	Pending
NC	2014	Trinity Place	\$ 12,415.00	\$ 12,415.00
NC	2014	White Oak Manor-Shelby	\$ 17,987.00	Pending

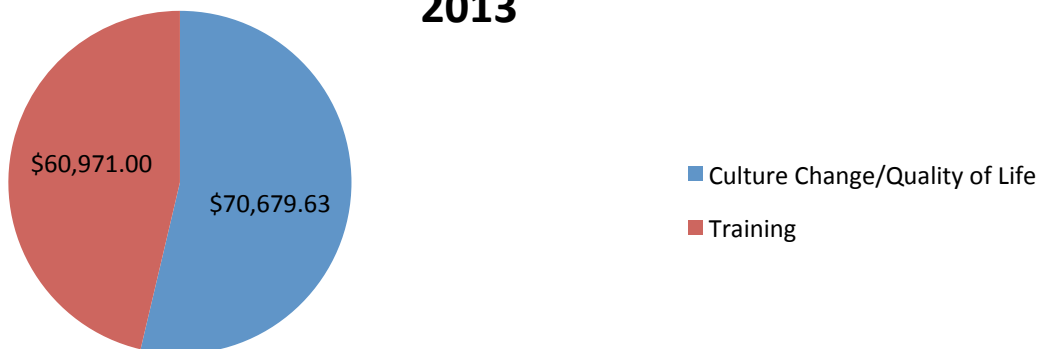
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## State Use of CMP Funds by Year and Category

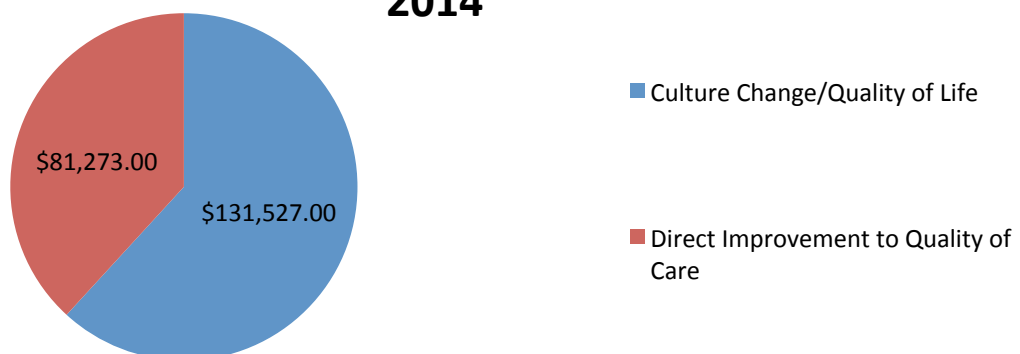
**Amount Approved by Category: North Carolina  
2012**



**Amount Approved by Category: North Carolina  
2013**



**Amount Approved by Category: North Carolina  
2014**



# Region IV State Data

## State Use of CMP Funds by Year and Category



### South Carolina

State	Year	Organization	Amount Requested	Amount Approved
SC	2012	SC SSA	\$ 3,626.00	\$ 3,626.00
SC	2013	White Oak Newberry	\$ 28,600.00	\$ 28,600.00

### Tennessee

State	Year	Organization	Amount Requested	Amount Approved
TN	2012	Tennessee SSA	\$ 371,714.00	\$ 371,714.00
TN	2013	Imperial Gardens	\$ 8,082.00	\$ 8,082.00



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## Special Thanks

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and

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